

Turing Machines

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Outline

1 Basics

- Definition
- Building programs
- Turing Completeness

2 Computability

- Universal Machines
- Languages
- The Halting Problem

3 Complexity

- Non-determinism
- Complexity classes
- Satisfiability

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What Are Turing Machines?

- Invented by Alan Turing
- Hypothetical machines
- Formalise “computation”



Alan Turing, 1912–1954

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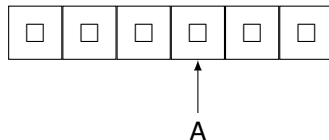
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- A set of **transitions**
 - If in state s_i and tape contains q_j , write q_k then move left/right and change to state s_m

Turing machine operation

- 1 Find a matching rule for the current state and tape symbol
- 2 If none found, halt
- 3 Otherwise, apply the rule and repeat

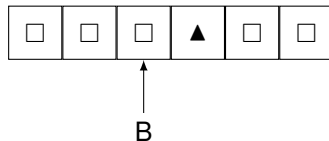
Example

- Two symbols, \square and \blacktriangle
- Two states, A and B
- Four rules
 - A \square : $\blacktriangle \leftarrow B$
 - A \blacktriangle : $\square \rightarrow B$
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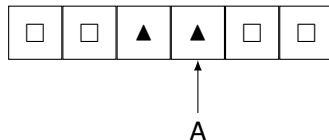
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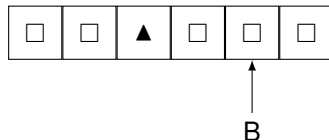
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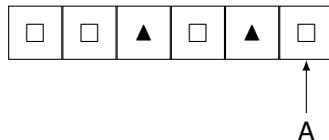
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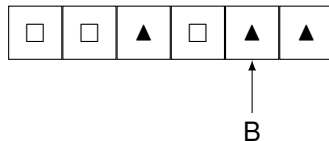
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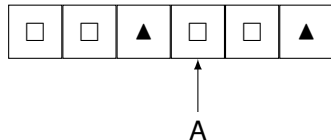
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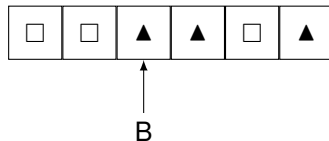
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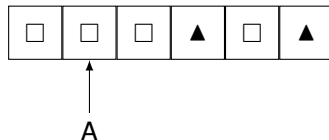
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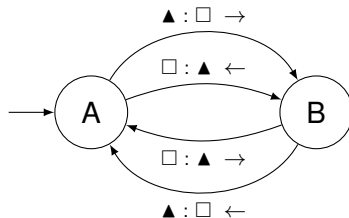


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Graph notation



Variations

- Move *or* write
- Explicit halt state
- Only one infinite direction

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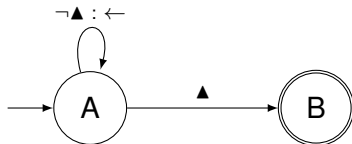
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- $* : \rightarrow$ Move right on any symbol
- $q_j : q_k$ Write but do not move
- q_j Change state only

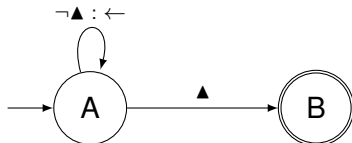
Composing Machines

Find first \blacktriangle to the left: $FL(\blacktriangle)$



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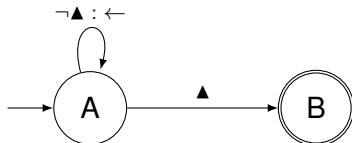


Write a blank: $W(\square)$



Composing Machines

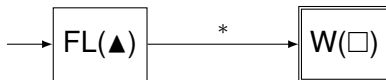
Find first \blacktriangle to the left: $FL(\blacktriangle)$



Write a blank: $W(\square)$



Find first \blacktriangle to the left and blank it:



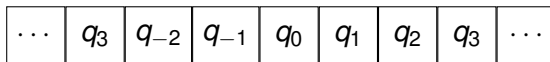
Transforming Machines

Simpler TMs can be used to simulate more general forms

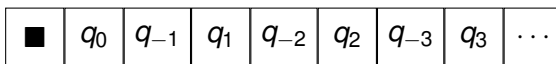
- Requires a procedure for “compiling” the more complex machine
- Proves that the simpler machine is just as powerful

Example: TMs as defined can be transformed to TMs with half-infinite tape

Half-infinite Tapes



can instead be encoded as



Half-infinite Tapes

The machine must be modified to:

- Encode the initial input

Half-infinite Tapes

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Half-infinite Tapes

The machine must be modified to:

- Encode the initial input
- Position the head on q_0
- Perform \leftarrow and \rightarrow correctly

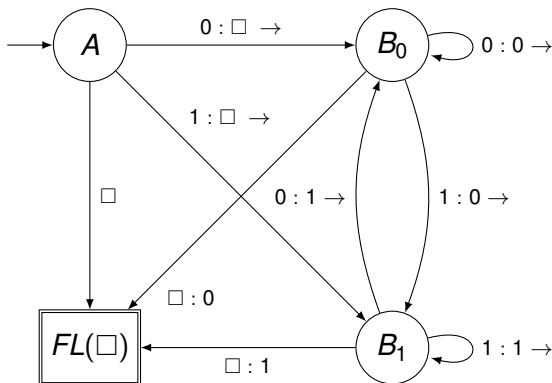
Half-infinite Tapes

The machine must be modified to:

- Encode the initial input
- Position the head on q_0
- Perform \leftarrow and \rightarrow correctly
- Keep track of which half it is in

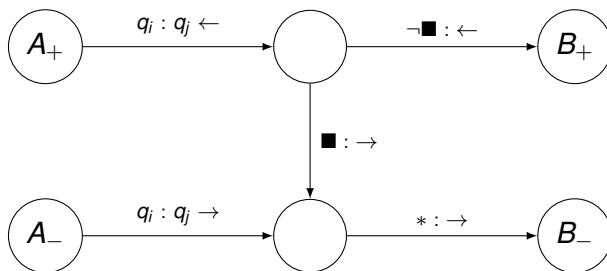
Shift To The Right

Insert a blank, shifting non-blank to the right



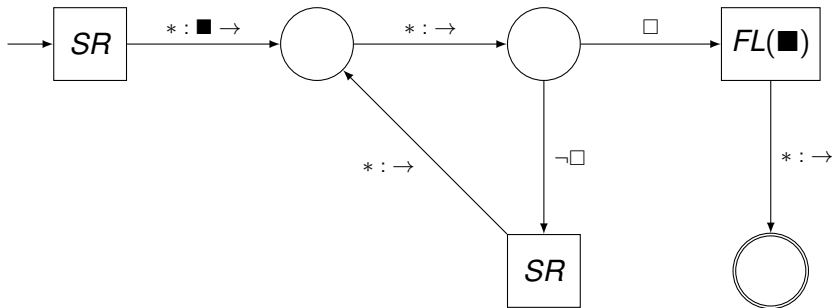
Turing Machine Transformation

- Each state A becomes two state A_+ and A_- .
- Each transition $A \ q_i : q_j \leftarrow B$ becomes



Input Preparation

Interleaves input with blanks and places \blacksquare



Multi-tape Machines

- N tapes, each with a separate head
- A **current** tape
- Transitions specify which tape to use next
- Input on the initial tape, others blank

Multi-tape Machines

A multi-tape machine can transformed to a single-tape one

- For each tape, add another with a head marker

$q_{0,0}$	$q_{0,1}$	$q_{0,2}$	$q_{0,3}$	\dots
□	□	↑	□	\dots
$q_{1,0}$	$q_{1,1}$	$q_{1,2}$	$q_{1,3}$	\dots
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↑	□	□	□	\dots

- Interleave these $2N$ tapes into one

■	$q_{0,0}$	□	$q_{1,0}$	↑	$q_{0,1}$	□	$q_{1,1}$	□	$q_{0,2}$	↑	$q_{1,2}$	□	\dots
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Turing Completeness

A system is **Turing-complete** if it can emulate any Turing Machine (ignoring finite memory limits)

- All real-world programming languages
- Many joke programming language e.g. INTERCAL, Whitespace
- Lambda calculus
- Partial recursive functions

Surprising Turing-Complete Systems

- Conway's Game of Life
- Wang Tiles
- C++ at **compile** time

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Encoding Turing Machines

A Turing Machine T can be encoded as a string $E(T)$ in a fixed alphabet e.g.

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- $1 \square: \blacktriangle \leftarrow 01$
- $1 \blacktriangle: \square \rightarrow 01$
- $01 \square: \blacktriangle \rightarrow 1$
- $01 \blacktriangle: \square \leftarrow 1$

Encoding Turing Machines

A Turing Machine T can be encoded as a string $E(T)$ in a fixed alphabet e.g.

- $1\ 1: 01 \leftarrow 01$
- $1\ 01: 1 \rightarrow 01$
- $01\ 1: 01 \rightarrow 1$
- $01\ 01: 1 \leftarrow 1$

Encoding Turing Machines

A Turing Machine T can be encoded as a string $E(T)$ in a fixed alphabet e.g.

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- 1 01: 1 01 01
- 01 1: 01 01 1
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- 1 1: 01 1 01
- 1 01: 1 01 01
- 01 1: 01 01 1
- 01 01: 1 1 1

1101101■10110101■01101011■0101111

Universal Turing Machines

There exists a **Universal Turing Machine** U

- Take a machine T and an input I
- Run machine U on the tape $E(T)\blacklozenge I$
- The result will be the same as running T on I

U operates like a stored-program computer

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Languages In Computability

A language is a set of **strings** in an **alphabet**

- Each string in a language is **finite**
- A language can be **infinite**

Examples of Languages

- The set of all Bulgarian words

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- The set of all formal proofs

Languages and Turing Machines

Turing Machines can classify strings with three outcomes

- Halt in an **accept** state
- Halt in a **reject** state
- Run forever

Recursive Languages

L is **recursive** or **Turing-decidable** if there is a TM T such that

- T always halts (either accepts or rejects)
- T accepts exactly the strings in L

Recursively Enumerable Languages

L is **recursively enumerable** if there is a TM T such that

- T accepts every string in L
- T does not halt given a string not in L

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The Halting Problem

For a specific Turing Machine T

- Does T halt given a blank tape?
- Does $E(T)$ belong to the language of Turing machines that halt?

More generally:

- Is the language **recursively-enumerable**?
- Is the language **Turing-decidable**?

The Halting Problem

Suppose H is a Turing Machine that takes $E(T)$ as input and decides whether T halts on blank input.

The Halting Problem

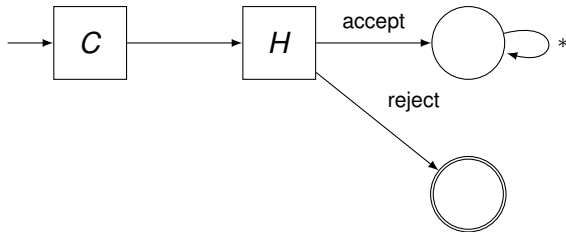
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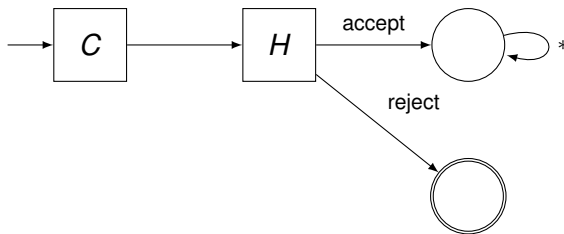
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Then F run on $E(F)$ halts iff it does not.

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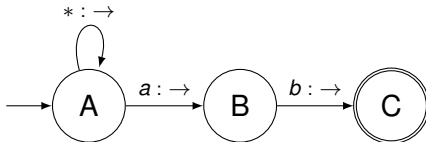
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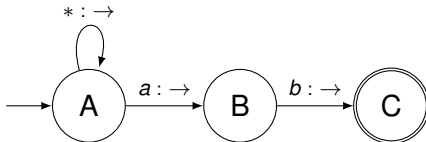
Non-deterministic Turing Machines

What if transitions are ambiguous?



Non-deterministic Turing Machines

What if transitions are ambiguous?



A **non-deterministic Turing Machine** (NDTM) halts if there is *any* choice of transitions that would lead to the halt state.

This machine is equivalent to the regex $. * ab$

Non-deterministic Computing Power

Anything computable with a NDTM is also computable with a TM

- A TM can simulate all possible states of a NDTM
- This could be far “slower” than the NDTM

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Polynomial Time

A Turing Machine runs in **polynomial time** if given an input of size N it halts within $f(N)$ steps, for some polynomial f .

A language is in **P** if a polynomial-time Turing Machine can decide it.

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A language is in **P** if a polynomial-time Turing Machine can decide it.

Exercise: There is a language L and a machine T which halts in polynomial time given a string from L , and never terminates given a string not from L . Prove that L is in P .

Non-deterministic Polynomial Time

A language is in **NP** if a non-deterministic Turing Machine can accept it in polynomial time.

Every member of such a language has a **certificate** that can be validated in polynomial time on a normal Turing Machine.

Reductions

Consider two languages:

- A , which has the alphabet Σ_1 ($A \subseteq \Sigma_1^*$)
- B , which has the alphabet Σ_2 ($B \subseteq \Sigma_2^*$)

A **reduction** from A to B is a computable function

$$f : \Sigma_1^* \rightarrow \Sigma_2^*$$

such that

$$a \in A \iff f(a) \in B.$$

Reductions

If A can be reduced to B , then an algorithm for deciding A is:

- Compute $f(a)$
- Test whether $f(a) \in B$, using an algorithm for B

Thus, B is at least as “hard” as A .

Reduction example

A N pilots are available to fly N planes. Each pilot is only qualified to fly some of the planes. Is it possible to assign each plane a different qualified pilot? (*Bipartite Matching*)

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- B** There are E one-way network connections between V computers, each of which has a capacity. Is it possible for computer P to send information to computer Q at a rate of at least R ? (*Network flow*)

Bipartite matching can be **reduced** to network flow.

NP-Complete

A problem (language) L is in **NPC** if

- it is in NP ; and
- *any* problem in NP can be reduced to L in polynomial time.

NP-Complete

A problem (language) L is in ***NPC*** if

- it is in *NP*; and
- *any* problem in *NP* can be reduced to L in polynomial time.

If any problem in *NPC* can be solved in polynomial time, then
 $P = NP$.

NP-Hard

A problem is **NP-Hard** if some problem from NP can be reduced to it

- Can include non-decision problems e.g. Travelling Salesman
- *At least* as hard as any problem in NPC

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Boolean Satisfiability

Given a boolean expression in N variables, can values for the variables be found to make it true? e.g.

$$(a \vee b \vee \neg c) \wedge (\neg b \vee c \vee \neg d) \wedge (\neg a \vee b \vee d)$$

SAT is in NP

- This is trivial: a non-deterministic Turing Machine can “guess” a solution and verify it in polynomial time.

SAT is in NP

- This is trivial: a non-deterministic Turing Machine can “guess” a solution and verify it in polynomial time.
- Equivalently, any assignment that satisfies the condition forms a certificate.

SAT is in NPC

Proof Outline

- Take a language L in NP

SAT is in NPC

Proof Outline

- Take a language L in NP
- Take the NDTM T that accepts L

SAT is in NPC

Proof Outline

- Take a language L in NP
- Take the NDTM T that accepts L
- Construct a boolean expression that can be satisfied iff T terminates

SAT is in NPC

Proof Outline

- Take a language L in NP
- Take the NDTM T that accepts L
- Construct a boolean expression that can be satisfied iff T terminates
- L has now been reduced to SAT

SAT is in NPC

Variables

Values of variables correspond to *one* possible execution trace

$Q_{t,i,q}$ After t steps, the symbol i to the right of the head is q (left if $i < 0$)

$S_{t,s}$ After t steps, the machine is in state s

$M_{t,k}$ After t steps, the next transition is via rule k

SAT is in NPC

How many variables?

L is in NP, so an input of length n can be accepted in at most $P(n)$ steps:

- t need only range from 0 to $P(n)$
- i need only range from $-P(n)$ to $P(n)$

SAT is in NPC

Constraints

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- Single state: $\neg(S_{t,s} \wedge S_{t,s'})$ for $s \neq s'$

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- Transition: if state $s \neq H$, symbol q allows transitions k_1, \dots, k_m then $(S_{t,s} \wedge Q_{t,0,q}) \implies (M_{t,k_1} \vee \dots \vee M_{t,k_m})$

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 - $M_{t,k} \implies Q_{t+1,1,q'}$
 - $M_{t,k} \implies S_{t+1,s'}$

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 - $M_{t,k} \implies S_{t+1,s'}$
- Halt: $S_{0,H} \vee S_{1,H} \vee \dots \vee S_{P(n),H}$

SAT is in NPC

Putting it all together

Final expression E is \wedge of all the constraints

- If T can reach the halt state then E can be satisfied
- If E can be satisfied then $M_{t,k}$ gives a way for T to halt
- Therefore we've reduced L to satisfiability of E

Questions

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